đ

T APPLICATION TRANSMITTAL

Under Small Entity Status (New Nonprovisional Applications Under 37 CFR § 1.53(b)) Attorney Docket No. 013.0171.01

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Dan Gallivan, entitled System And Method For Dynamically Evaluating Latent Concepts In Unstructured Documents, for a(n): # 183112 (1818 (1881 18128 1828) WILL THE

(X)	Original	Patent Application.			;	
()	Continu	ing Application (prior application not aband	oned):			22895
	()			ntinuation-in-part	(CIP)	PATENT TRADEMARK OFFICE
		of prior application No:				
	()	A statement claiming priority under 35 US	SC § 120 h	as been added to t	he specification.	
Enclo	sed are:					
	(X)	Specification; <u>24</u> Total Pages.	(2	X) Drawing(s);	_11_ Total She	eets.
	(X)	Oath or Declaration:				
		(X) A Newly Executed Combined Declarat	tion and Po	ower of Attorney:		
		(X) Signed. () Uns	signed.	() Pa	rtially Signed.	
		() A Copy from a Prior Application for C				
		() Incorporation by Reference. The	entire disc	losure of the prior	application, fro	m which a copy of the
		oath or declaration is supplied, is		• •	he disclosure of	the accompanying
		application and is hereby incorpor				
		() Signed Statement Deleting Invent				FR § 163(d)(2)).
	(X)	Formal Drawings Transmittal Letter.				
	()	Associate Power of Attorney.	(X)	A Check in the ar	mount of <u>\$899</u> 1	for the Filing Fee.
	(X)	Assignment and Form 1619.	(X)	Information Disc	losure Statemen	t and Form PTO-1449.
	(X)	A Check in the amount of \$40 for the Rec	ordation F	ee.		
	(X)	A Duplicate Copy of this Form for Processing Fee Against Deposit Account.				
	(X)	Request and Certification Under 35 USC 122(b)(2)(B)(i)				
	(X)	Applicant claims small entity status.				
	(X)	Other: 1 printed publication reference			·	

CLAIMS AS FILED						
FOR	NO. FILED	NO. EXTRA	RATE	FEE		
Total Claims	61	41	\$9.00	\$ 369.00		
Independent Claims	4	1	\$40.00	\$ 40.00		
Multiple Dependent Clai	\$135.00					
Assignment Recording F	\$0.00					
Basic Filing Fee	\$355.00					
			Total Filing Fee	\$ 899.00		
Applicant claims small entity status under 37 CFR 1.27.						

_ to Deposit Account ____501144 __ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted

Patrick J.S. Mouye, E Attorney of Record

Reg. No. 40297

Date: August 31, 2001

Correspondence Address:

Law Offices of Patrick J.S. Inouye 810 Third Avenue Suite 258

Seattle, WA 98104 Phone: (206) 381-3900 Fax: (206) 381-3999 I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents

Box Patent Application 20231

Typed Name: Casey Leichter

Express Mail Label No.: EL793272135US

Date of Deposit: August 31, 2001



PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

	Named Inventor		
Title	System And Method For Dynamically Evaluating Latent Concepts In Unstructured Documents		
Atty [Docket Number	013.0171.01	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

8/31/01

Patrick J.S. Inouye, Esq.

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).